

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: 228.1010	SERIAL NO.: 09/980,727
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT(S): Hubert REIN, et al.	
		FILING DATE: October 19, 2001	GROUP: 1615

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4 1 3 2 7 5 3	01/02/79	Blichare et al.	264	25	11/03/67
	AB	4 5 3 3 5 6 2	08/06/85	Ikegami et al.	427	3	04/19/84
	AC	4 7 6 4 3 7 8	08/16/88	Keith et al.	424	435	02/10/86
	AD	4 8 2 8 8 3 6	05/09/89	Elger et al.	424	419	05/19/87
	AE	4 8 8 2 1 6 7	11/21/89	Jang	424	468	11/24/87
	AF	4 8 8 0 8 3 0	11/14/89	Rhodes	424	470	02/09/87
	AG	4 8 9 4 2 3 4	01/16/90	Sharma et al.	424	440	07/26/88
	AH	4 9 9 4 2 2 7	02/19/91	Dietz et al.	264	328.16	02/03/89
	AI	5 0 2 3 0 8 9	06/11/91	Sakamoto et al.	424	502	07/13/89
	AJ	5 0 5 5 3 0 7	10/08/91	Tsuru et al.	424	693	12/28/89
	AK	5 1 3 3 9 7 4	07/28/92	Paradissis et al.	424	480	01/24/90

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	WO 94 0 8 5 6 8	04/28/94	PCT	A61K	9/26	Abstract	
	AM	WO 94 2 3 6 9 8	10/27/94	PCT	A61K	9/14		
	AN	WO 93 2 4 1 1 0	12/09/93	PCT	A61K	9/20	Abstract	
	AO	WO 93 0 7 8 5 9	04/29/93	PCT	A61K	9/16		
	AP	0 4 8 1 6 0 0	04/22/92	EPO(A2)	A61L	15/28		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	Nicolas Follonier ¹ , Eric Doelker and Ewart T. Cole, <u>Evaluation of Hot-Melt Extrusion as a New Technique for the Production of Polymer-Based Pellets for Sustained Release Capsules containing high loadings of freely soluble drugs</u> , Drug Development and Industrial Pharmacy, 20(8), 1323-1339 (1994)
	AR	Nicolas Follonier ¹ , Eric Doelker ¹ and Ewart T. Cole ² , <u>Hot-Melt Extruded Pellets for the Sustained Release of Highly Dosed Freely Soluble Drugs</u> , Proceed. Intern. Symp. Control. Rel. Bioact. Mater., 18(1991), pages 578-579
	AS	Twin Screw Extrusion in the Production of Novel Dosage Forms, Pharmaceutical Manufacturing Review (June 1994)

EXAMINER	DATE CONSIDERED
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FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO.: 228.1010		SERIAL NO.: 09/980, 727						
LIST OF PRIOR ART CITED BY APPLICANT						APPLICANT(S): Hubert REIN, et al.								
(Use several sheets if necessary)						FILING DATE: October 19, 2001		Group.: 1615						
U.S. PATENT DOCUMENTS														
*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	GROUP: 1617		
	BA	5	2	7	3	7	5	8	12/28/93	Royce	424	465	04/13/92	
	BB	5	3	8	0	5	3	5	01/10/95	Geyer et al.	424	484	11/25/92	
	BC	5	2	0	2	1	2	8	04/13/93	Morella et al.	424	469	08/24/90	
	BD	Re.		3	3	0	9	3	10/17/89	Schiraldi et al.	424	676	11/16/88	
	BE	5	3	5	6	6	3	5	10/18/94	Raman et al.	424	484	07/13/93	
	BF	5	1	8	3	6	9	0	02/02/93	Carr et al.	427	213.31	06/25/90	
	BG	5	0	7	3	3	7	9	12/17/91	Klimesch et al.	424	467	08/25/89	
	BH	4	9	5	7	6	8	1	09/18/90	Klimesch et al.	264	211.23	04/03/89	
	BI	5	2	8	3	0	6	5	2/1/94	Doyon, et al.	424	467	3/23/92	
	BJ	4	8	0	6	3	3	7	2/21/89	Snipes, et al.	71	65	10/14/86	
	BK	5	2	9	0	5	6	0	3/1/94	Autant, et al.	424	438	6/21/91	
FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	BL	2	0	8	2	5	7	3	05/24/93	Canada	A61K	047/38		
	BM	0	5	8	0	8	6	0	02/02/94	EPO (A1)	A61K	9/14		
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
	BN	Follonier, Nicolas, et al., "Hot-Melt Extruded Pellets For The Sustained Release Of Highly Dosed Freely Soluble Drugs", Capsule News, Vol. 1, No. 3, Edited by Roland Daumesnil, (June/July 1991)												
	BO	Frank W. Goodhart et al., Design and Use of a Laboratory Extruder for Pharmaceutical Granulations, Journal of Pharm. Scien., 62(1), p. 133-136 (January 1973).												
	BP	Publication, KEX, Twin Screw Compounding Extruder, (October 1989).												
EXAMINER						DATE CONSIDERED								
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U.S. PATENT DOCUMENTS														
*EXAMINER INITIAL	CA	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	CA	5	0	4	9	3	9	4	9/17/91	Howard, et al.	424	490	3/15/89	
	CB	4	8	6	1	5	9	8	8/29/89	Oshlack	424	468	7/18/86	
	CC	4	8	1	8	4	5	0	4/4/89	Hall, et al.	264	39	10/27/86	
	CD	4	7	2	0	3	8	4	1/19/88	DiLuccio, et al.	424	78	8/21/86	
	CE	4	3	7	4	0	8	2	2/15/83	Hochschild	264	129	8/18/91	
	CF	3	8	8	0	9	9	1	04/29/75	Yolles	424	22		
	CG	4	2	6	5	8	7	5	05/05/81	Byrne, et al.	424	19		
	CH	4	2	9	2	3	0	0	09/29/81	Byrne, et al.	424	19		
	CI	4	3	4	4	4	3	1	08/17/82	Yolles	128	260		
	CJ	4	3	4	6	7	0	9	08/31/82	Schmitt	128	260		
	CK	4	4	0	6	8	8	3	09/27/83	Byrne, et al.	424	80		
FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO											
	CL	0	5	4	4	1	4	4	06/02/93	EPO (A1)	A61K	9/20	Abstract	
	CM	WO	93	0	7	8	5	9	04/29/93	PCT	A61K	9/16		
	CN	WO	93	1	8	7	5	3	9/30/93	PCT	A61K	9/16		
	CO	WO	92	1	8	1	0	6	10/29/92	Japan	A61K	9/14	Abstract	
	CP	9	3	0	0	0	6	3	01/07/93	WO (A1)	A61J	3/00		
	CQ	9	3	1	0	7	6	5	06/10/93	WO (A1)	A61K	9/22		
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
	CR	Abstract No. 2-223533 "Agent with Release-Controlled Matrix"												
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													YES	NO
	DA	4	6	2	1	1	1	4	11/04/86	Watanabe	524	451		
	DB	4	6	4	9	0	4	2	03/10/87	Davis, et al.	424	438		
	DC	4	8	0	1	4	6	0	01/31/89	Goertz, et al.	424	465		
	DD	4	8	8	0	5	8	5	11/14/89	Klimesch, et al.	264	141		
	DE	4	9	7	0	0	7	5	11/13/90	Oshlack	424	451		
	DF	4	9	9	2	1	0	0	02/12/91	Koepff, et al.	106	125S		
	DG	5	1	0	2	6	6	8	04/07/92	Eichel, et al.	424	490		
	DH	5	3	5	0	5	8	4	09/27/94	McClelland, et al.	424	501		
	DI	5	4	5	6	9	2	3	10/10/95	Nakamichi, et al.	424	489		
	DJ	4	1	7	3	4	1	7	11/6/79	Kruder	366	89	6/20/78	
	DK	4	2	3	0	6	8	7	10/28/80	Sair et al.	424	22	5/30/78	
	DL	4	7	7	8	6	7	6	10/18/88	Yang et al.	424	79	12/20/85	
	DM	4	8	4	2	7	6	1	6/27/89	Rutherford	252	90	3/23/88	
FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	DN	0	2	3	9	9	8	3	11/21/91	EPO (B1)	A61J	3/06	Abstract	
	DO	0	2	7	5	8	3	4	07/27/88	EPO (A1)	A61K	9/20		
	DP	0	3	2	0	4	8	0	11/25/92	EPO (B1)	B01F	5/22		
	DQ	0	4	9	1	2	3	8	03/08/95	EPO (B1)	B30B	11/22		
	DR	2	2	0	7	3	5	5	01/23/91	Great Britain (B)	A61M	31/00		
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
	DS	Derwent Abstract DE 2553026 (1976)												
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	EA	4	8	7	9	1	0	8	11/7/89	Yang et al.	424	440	10/14/88
	EB	4	8	8	2	1	5	1	11/21/89	Yang et al.	424	440	10/14/88
	EC	4	8	8	2	1	5	2	11/21/89	Yang et al.	424	440	10/14/88
	ED	4	8	8	2	1	5	3	11/21/89	Yang et al.	424	440	10/13/88
	EE	4	8	8	2	1	5	5	11/21/89	Yang et al.	424	440	10/14/88
	EF	4	8	8	2	1	5	6	11/21/89	Yang et al.	424	440	10/14/88
	EG	5	3	5	4	8	5	6	10/11/94	Kawashima et al.	536	127	05/23/91
	EH	4	8	8	2	1	5	7	11/21/89	Yang et al.	424	440	10/14/88
	EI	4	8	8	2	1	5	9	11/21/89	Yang et al.	424	440	10/14/88
	EJ	4	9	5	9	2	0	8	9/25/90	Chakrabarti et al.	424	78	10/28/88
	EK	5	0	3	5	5	0	9	7/30/91	Kruder	366	89	8/13/90

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO											
	EL	0	3	6	8	2	4	7	5/16/90	EP (A2)	A61K	9/26		
	EM	0	3	7	5	0	6	3	6/27/90	EP (A1)	A61K	9/18		
	EN	2	2	2	3	5	3	3	9/05/90	JP (A)	A61K	47/14	Abstract	
	EO	2	2	2	3	5	1	3	9/5/90	JP (A)	A61K	9/10	Abstract	

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	EP	Derwent Abstract of JP 62040277 (1987)
	EQ	Derwent Abstract of JP58109411 (1983)
	ER	Derwent Abstract EP 358 107 (1990)
	ES	Sekiguchi, et al., "Studies on Absorption of Eutectic Mixture.....", Chem. Pharm. Bull., Vol. 9 (1961), pp. 866-872

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	FA	5	2	2	9	1	4	8	7/20/93	Copper	426	5	2/20/92	
	FB	5	2	4	0	4	0	0	8/31/93	Fujimoto et al.	425	310	7/27/92	
	FC	5	2	6	2	1	7	2	11/16/93	Sipos	424	490	6/19/92	
	FD	5	2	9	6	2	6	6	3/22/94	Kunugi et al.	427	213	2/19/91	
	FE	5	3	4	0	5	8	1	8/23/94	Tseng et al.	424	401	6/15/92	
	FF	5	4	7	6	5	2	8	12/19/95	Trimm et al.	71	21	12/20/93	
	FG	5	5	1	0	1	1	4	4/23/96	Borella et al.	424	452	5/2/94	
	FH	5	5	1	6	2	0	5	5/14/96	Oda et al.	366	75	1/12/95	
	FI	5	5	5	2	1	5	9	9/3/96	Mueller et al.	424	464	9/23/93	
	FJ	5	5	6	7	4	3	9	10/22/96	Myers et al.	424	486	11/4/94	
FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	FK	2	2	7	3	5	1	2	1/2/76	FR	A61J	3/06	Abstract	
	FL	2	2	7	3	5	8	4	1/2/76	FR	B 01 J	2/10	Abstract	
	FM	5	2	5	7	3	1	5	5/11/77	JP	A61K	9/22	Abstract	
	FN		6	6	5	0	1	0	10/14/93	EP (A1)	A61K	9/26		
	FO	1	5	0	4	5	5	3	3/22/78	GB	A61K	47/00		
	FP	8	9	7	6	0	9	1	6/18/92	AU (A)	A23L	001/09		
	FQ	9	4	2	2	4	3	1	10/13/94	WO	A61K	9/20		
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
	FR	A.R. Gennaro, "Particle Phenomena and Coarse Dispersions", Remington's Pharmaceutical Sciences, 17th Edition, 1985, p. 301												
	FS	J.L. Ford, "The Current Status of Solid Dispersions", Pharm. Acta Helv. 61, Nr. 3 (1986), pp. 69-88												
	FT	CA 74:67660 (1996) (1 page)												
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GA	3 7 1 4 3 5 0	01/30/73	Gough	424	203	
GB	5 1 6 2 1 1 7	11/10/92	Stupak, et al.	424	475	
GC	5 1 6 5 9 5 2	11/24/92	Solomon, et al.	427	2	
GD	5 2 3 4 6 9 7	08/10/93	Sipos	424	490	
GE	5 0 1 3 3 0 6	05/07/91	Solomon, et al.	604	265	
GF	5 4 5 1 4 2 4	11/19/95	Solomon, et al.	427	2.1	

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
GG	2 4 3 9 5 3 8	03/04/76	DE	A61K	9/22	Abstract
GH	2 0 4 5 9 6	12/10/86	EP	A61K	9/14	Abstract
GI	5 8 0 8 6 0	02/94	EP (A1)			
GJ	0 5 2 9 3 9 6	03/03/93	EPO	A61K	9/20	abstract

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

GK	CA 101:60081 (1996) (1 page)
GL	CA 113:98975 (1996) (1 page)
GM	CA 113:218240 (1996) (1 page)
GN	CA 114:30199 (1996) (1 page)
GO	CA 112:75438 (1996) (1 page)
GP	CA 115:177364 (1997) (1 page)
GQ	R. Kinget, et al., "Preparation and Properties of Granulates Containing Solid Dispersions", Acta Phar. Tech., Vol. 31, No. 2, 1985, pp. 57-62

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	HA	2	7	3	8	3	0	3	3/13/56	Blythe, et al.	167	82		
	HB	3	0	6	5	1	4	3	11/20/62	Christenson, et al.				
	HC	3	6	5	2	5	8	9	3/28/72	Flick, et al.	260	326.5M		
	HD	3	8	3	0	9	3	4	8/20/74	Flick, et al.	424	330		
	HE	3	8	4	5	7	7	0	11/5/74	Theeuwes, et al.	128	260		
	HF	3	9	5	0	5	0	8	4/13/76	Mony, et al.	424	19		
	HG	3	9	6	5	2	5	6	6/22/76	Leslie	424	22		
	HH	3	9	7	4	1	5	7	8/10/76	Shetty, et al.	260	247.2B		
	HI	4	0	1	3	7	8	4	3/22/77	Speiser	424	19		
	HJ	4	0	7	6	7	9	8	2/28/78	Casey et al.	424	419		
	HK	4	2	5	9	3	1	4	3/31/81	Lowey	424	19		
	HL	4	3	1	0	4	8	3	1/12/82	Dorfel, et al.	264	117		
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													YES	NO
	HM	9	1	1	9	4	8	4	12/26/91	PCT	A61K	9/16	Abstract	
	HN	9	1	1	9	4	8	5	12/26/91	PCT	A61K	9/16	Abstract	
	HO	9	2	0	1	4	4	6	2/6/92	PCT	A61K	9/50		
	HP	9	2	0	2	2	0	9	2/20/92	PCT	A61K	9/22	Abstract	
	HQ	9	2	0	5	7	7	4	4/16/92	PCT	A61K	9/18	Abstract	
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
	HR	M.J. Jozwiakowski et al., "Characterization of a Hot-Melt Fluid Bed Coating Process for Fine Granules", Pharm. Research, Vol. 7, No. 11, 1990, pp. 1119-24												
	HS	B. Evrard et al., "Melt Granulation With a New Laboratory High-Shear Mixer", Laboratoire de Pharmacie Galenique, Institut de Pharmacie												
	HT	M. Niskanen et al., "Pelletization in a Centrifugal Granulator, Part I: Effects of Binder-Solution Concentration", Pharm. Tech. Int'l., October 1990, pp. 22-38												
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		4	3	4	3	7	8	9					YES	NO
	IA	4	3	4	3	7	8	9	8/10/82	Kawata, et al.	424	461		
	IB	4	3	6	6	1	7	2	12/28/82	Lednicer	424	330		
	IC	4	3	8	0	5	3	4	4/19/83	Fukui, et al.	264	38		
	ID	4	3	8	9	3	9	3	6/21/83	Schor, et al.	424	19		
	IE	4	4	2	1	7	3	6	12/20/83	Walters, et al.	424	21		
	IF	4	4	8	3	8	4	7	11/20/84	Augart	424	22		
	IG	4	6	1	3	6	1	9	9/23/86	Sleigh et al.	514	546		
	IH	4	8	0	1	4	5	8	1/31/89	Hidaka, et al.	424	443		
	II	4	8	3	4	9	8	4	5/30/89	Goldie, et al.	424	488		
	IJ	4	8	4	4	9	0	7	7/4/89	Elger et al.	424	465		
	IK	4	8	4	4	9	0	9	7/4/89	Goldie et al.	424	480		
	IL	4	9	1	7	8	9	9	4/17/90	Geoghegan et al.	424	19		
FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		9	2	0	6	6	7	9					YES	NO
	IM	9	2	0	6	6	7	9	4/30/92	PCT	A61K	9/16		
	IN	9	2	2	2	2	8	3	12/23/92	PCT	A61K	9/02		
	IO	9	3	0	0	0	7	6	1/7/93	PCT	A61K	9/51		
	IP	9	3	0	4	6	7	5	3/18/93	PCT	A61K	31/16		
	IQ	9	3	0	7	8	6	1	4/29/93	PCT	A61K	9/50		
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
	IR	L. Lachman et al., "The Theory and Practice of Industrial Pharmacy", p. 315, Lea & Febiger, Phi. 1976.												
	IS	FDA Guide to Inspections of Oral Solid Dosage Forms Pre/Post Approval Issues for Development and Validation, January 1994												
	IT	T. Schaefer et al., "Melt Pelletization in a High Shear Mixer I Effects of Process Variables and Binder", Acta Pharm. Nord. Vol. 4, No. 3, pp. 133-140, 1992.												
EXAMINER					DATE CONSIDERED									
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.														